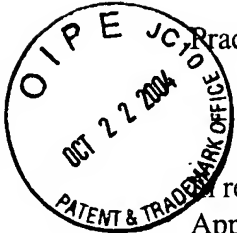


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Practitioner's Docket No. 2458-4042US5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Richard S. Judson et al.  
Application No.: 10/019,342  
Filing Date: December 21, 2001

Art Unit: 1631  
Examiner: Marianne P. Allen

For: Methods for Obtaining and Using Haplotype Data

Commissioner for Patents  
Arlington, VA 22202

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(e)(1)

Enclosed herewith please find:

1. Identification of Information Disclosure Statement for which this Statement is Being Made
2. Information Disclosure Statement by Applicant Form PTO/SB/08a (1 page)
3. Information Disclosure Statement by Applicant Form PTO/SB/08b (1 page)
4. Copies of Listed References Accompanying this Statement (5 references-Total)
5. Return receipt postcard

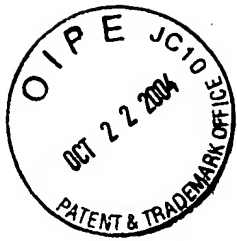
Date: October 21, 2004  
Tel. No. 203-786-3468  
Registration No. 47,934  
Customer No. 25106

Sandra L. Shaner  
Sandra L. Shaner  
Genaissance Pharmaceuticals, Inc.  
Five Science Park  
New Haven, CT 06511

CERTIFICATION UNDER 37 C.F.R. SECTION 1.10\*  
(Express Mail label number is *mandatory*.)  
(Express Mail certification is *optional*.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on 10/22, 2004 (date), in an envelope as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. Section 1.10, Mailing Label Number EV 307812656US addressed to: Commissioner for Patents, Washington, D.C. 20231.

Kathleen Porzelt  
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Kathleen Porzelt  
Signature of person mailing



Practitioner's Docket No. 2458-4042US5

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Richard S. Judson, Andreas K. Windemuth and J. Claiborne Stephens

Application No.: 10/019,342

Group No.: 1631

Filed: December 21, 2001

Examiner: Marianne Allen

For: Methods for Obtaining and Using Haplotype Data

**Mail Stop Amendment**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**STATEMENT FOR INFORMATION DISCLOSURE**  
**UNDER 37 C.F.R. § 1.97(e)(1)**

**IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT**  
**FOR WHICH THIS STATEMENT IS BEING MADE**

1. This statement is being made for the Information Disclosure Statement accompanying this statement.

**STATEMENT**

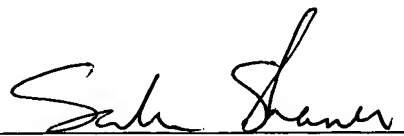
2. I, the person signing below, state that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

**IDENTIFICATION OF PERSON MAKING THIS STATEMENT**

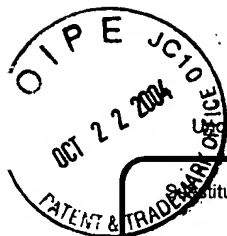
3. The person making this certification is:

the practitioner who signs below on the basis of the information supplied by an individual designated in section 1.56(c).

Date: October 21, 2004  
Tel. No. 203-786-3468  
Registration No. 47,934  
Customer No. 25106

  
Sandra L. Shaner  
Genaissance Pharmaceuticals, Inc.  
Five Science Park  
New Haven, CT 06511





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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/019,342
				Filing Date	12/21/2001
				First Named Inventor	Richard S. Judson
				Art Unit	1631
				Examiner Name	Marianne P. Allen
Sheet	1	of	1	Attorney Docket Number	2458-4042US5

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AA	W. SIEDLECKI ET AL., "On automatic feature selection," Int. J. of Pattern Recognition and Artificial Intelligence, Vol. 2 ( No. 2), p. 197-220, (1988).	
	AB	A. JAIN & D. ZONGKER, "Feature Selection: Evaluation, Application, and Small Sample Performance," IEEE Transactions on Pattern Analysis and Machine Intelligence, IEEE Comput. Soc USA, Vol. 19 ( No. 2), p. 153-158, (February 1997).	
	AC	M. DASH & H. LIU, "Feature Selection for Classification," Intelligent Data Analysis, Elsevier USA, Vol. 1 ( No. 3), p. 131-156, (1997).	
	AD	A. SJALANDER ET AL., "p53 germ line haplotypes associated with increased risk for colorectal cancer," Carcinogenesis, Vol. 16 ( No. 7), p. 1461-1464, (July 1995).	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450: DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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